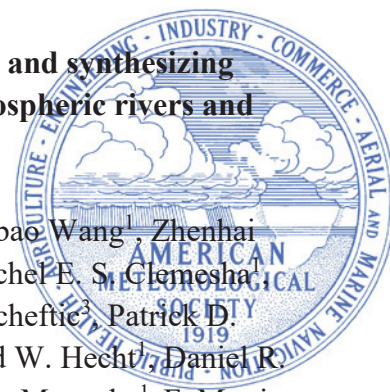


**From California's extreme drought to major flooding: Evaluating and synthesizing experimental seasonal and subseasonal forecasts of landfalling atmospheric rivers and extreme precipitation during Winter 2022 - 2023**

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## CAPSULE

Experimental seasonal and subseasonal forecasts are reviewed to describe precursory signals of an abrupt regime shift from drought to flooding associated with strong atmospheric rivers that impacted California during winter 2022-2023 and alleviated the state's extreme multi-year drought.

## ABSTRACT

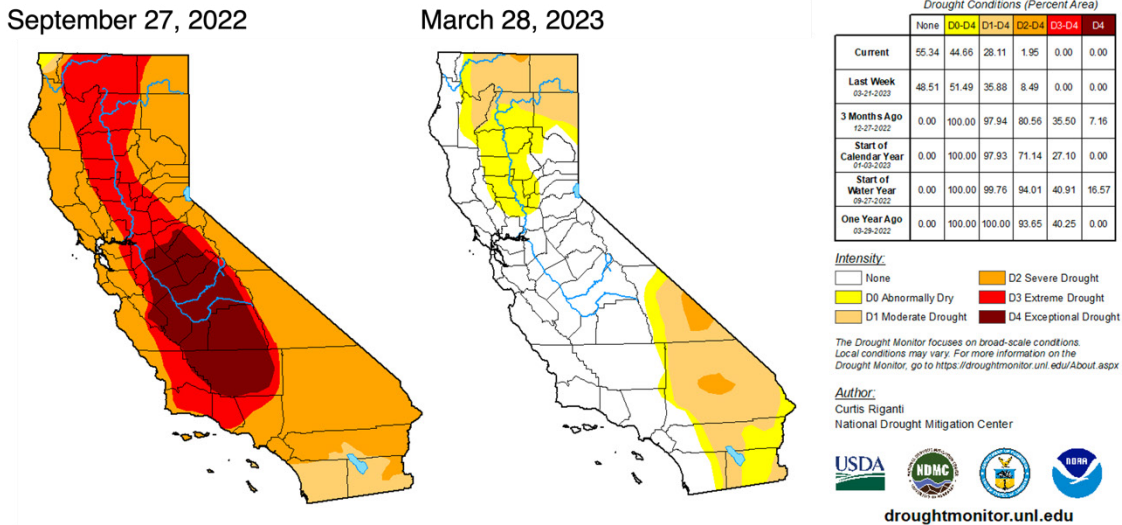
California experienced a historic run of nine consecutive landfalling atmospheric rivers (ARs) in three weeks' time during winter 2022-2023. Following three years of drought from 2020-2022, intense landfalling ARs across California in December 2022 – January 2023 were responsible for bringing reservoirs back to historical averages and producing damaging floods and debris flows. In recent years, the Center for Western Weather and Water Extremes and collaborating institutions have developed and routinely provided to end users peer-reviewed experimental seasonal (1-6 month lead time) and subseasonal (2-6 week lead time) prediction tools for western U.S. ARs, circulation regimes, and precipitation. Here, we evaluate the performance of experimental seasonal precipitation forecasts for winter 2022-2023, along with experimental subseasonal AR activity and circulation forecasts during the December 2022 regime shift from dry conditions to persistent troughing and record AR-driven wetness over the western U.S. Experimental seasonal precipitation forecasts were too dry across Southern California (likely due to their overreliance on La Niña), and the observed above normal precipitation across Northern and Central California was underpredicted. However, experimental subseasonal forecasts skillfully captured the regime shift from dry to wet conditions in late December 2022 at 2-3 week lead time. During this time, an active MJO shift from phases 4&5 to 6&7 occurred, which historically tilts the odds towards increased AR activity over California. New experimental seasonal and subseasonal synthesis forecast products, designed to aggregate information across institutions and methods, are introduced in the context of this historic winter to provide situational awareness guidance to western U.S. water managers.

## 1. Introduction

### *a. Overview of California's historic 2022-2023 winter: long-awaited relief from a devastating multi-year drought*

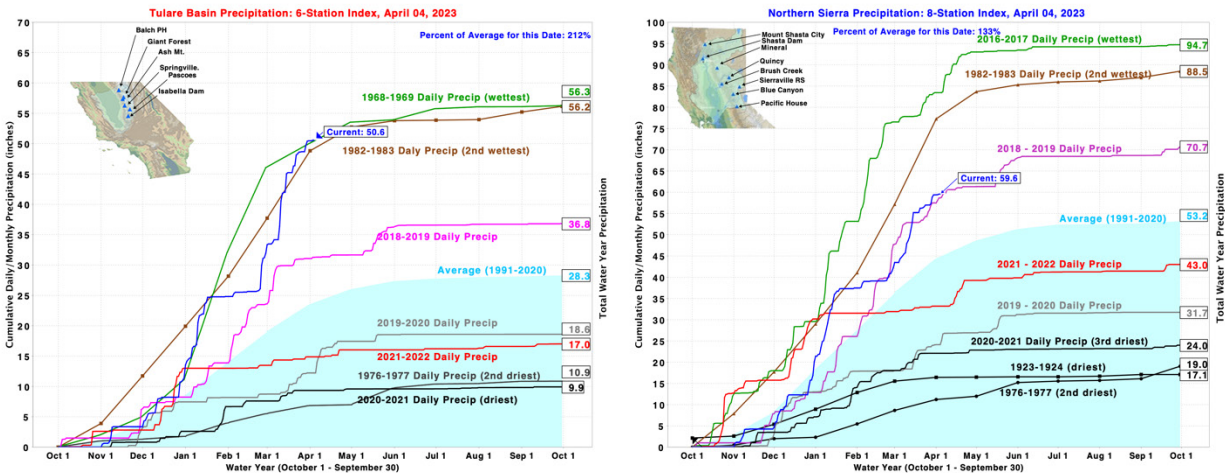
California entered the winter of 2022-2023 with statewide emergency drought regulations in place and with water reservoirs at historically low levels, having endured several years of prolonged extreme and exceptional drought across much of the state (Guirguis et al. 2022; Krishnakumar and Kannan 2020). However, a family of nine atmospheric rivers (ARs) (Fish et al. 2019) and their associated extreme precipitation across California in late December 2022 and early January 2023 alleviated extreme and exceptional drought conditions across much of the state, while also causing an estimated \$5-7 billion in economic losses due to devastating floods, damaging winds, and debris flows (Moody's RMS, 2023). Figure 1 shows U.S. drought monitor conditions across California at the start of the extended boreal winter season (issued: September 27, 2022) and at the end of the extended boreal winter season (issued: March 28, 2023). At the start of the Water Year, 94% of California was experiencing at least Severe drought conditions according to the U.S. Drought Monitor, and 41% of California was experiencing Extreme or Exceptional drought. By the end of this remarkable winter (U.S. Drought Monitor, March 28, 2023), less than 10% of the state of California was experiencing Severe drought conditions, and the Extreme and Exceptional drought that had evolved over years prior had been eliminated. As shown in Figure 2, the Tulare Basin 6-Station Precipitation Index cumulative precipitation was the highest in the 101-year (1922-2023) period of record as of early April 2023 (50.6 inches from October 2022 – April 2023; 212% of average relative to 1991-2020), while the Northern Sierra 8-Station Precipitation Index cumulative precipitation totals were 133% of average over the same period (59.6 inches) according to data from the California Data Exchange Center (CDEC).

# California Drought Conditions: Late September 2022 vs. Late March 2023



**Figure 1:** U.S. Drought Monitor conditions over California, issued by the National Drought Mitigation Center, on September 27, 2022 (left panel) and March 28, 2023 (right panel). Color shading represents drought intensity categories for Abnormally Dry (yellow), Moderate Drought (beige), Severe Drought (orange), Extreme Drought (red), and Exceptional Drought (maroon) conditions.

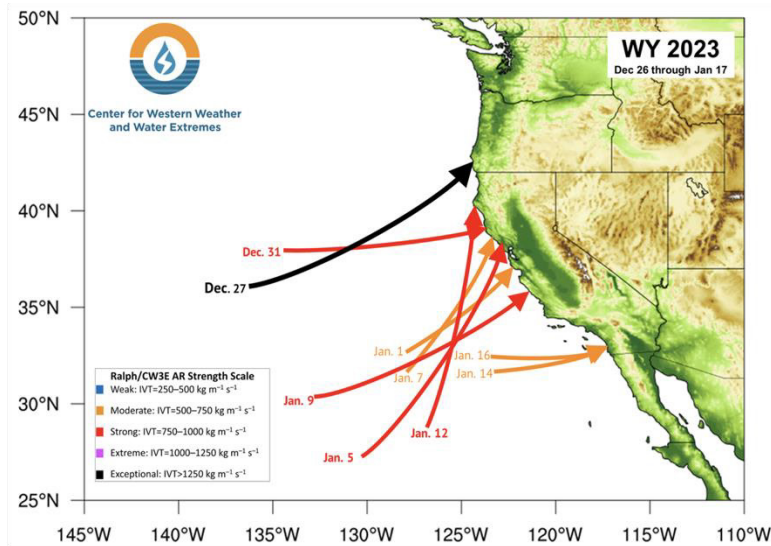
## Tulare Basin and Northern Sierra Cumulative Precipitation: October 2022 – April 2023 and Historical Context



**Figure 2:** Tulare Basin 6-Station Precipitation Index (left) and Northern Sierra 8-Station Precipitation Index (right) for the October 1, 2022 – April 4, 2023 period. Cumulative precipitation in inches (y-axis) is plotted as a function of date (x-axis) for the current Water Year (dark blue line) and for a variety of historical Water Years (other colored lines).

Unsurprisingly, the driver of the regional whiplash from historic drought to substantial rainfall during this period was a family of impactful ARs, which are lower tropospheric jets of substantial water vapor transport that are responsible for nearly half of California’s annual precipitation and streamflow (Gershunov et al. 2017; Dettinger et al. 2011; Ralph et al. 2004; Zhu and Newell 1998). Of primary importance in provoking this transition was a remarkable shift in the North Pacific/western North America atmospheric circulation regime from a high pressure ridge to a low pressure trough along the California coast that persisted throughout the three-week period spanning from December 26, 2022 – January 17, 2023. Within this short span, nine ARs made landfall along the California coastline (Figure 3). According to the AR scale ranking system introduced by Ralph et al. (2019), four of the ARs were moderate (AR2 ranking; Maximum IVT = 500-750 kg m<sup>-1</sup>s<sup>-1</sup>; 1st, 7th, 14th, and 16th of January), four ARs were strong (AR3 ranking; Maximum IVT = 750-1000 kg m<sup>-1</sup>s<sup>-1</sup>; 31st of December, and 5th, 9th, and 12th of January), and one AR was exceptional (AR5 ranking; Maximum IVT > 1250 kg m<sup>-1</sup>s<sup>-1</sup>; 27th of December).

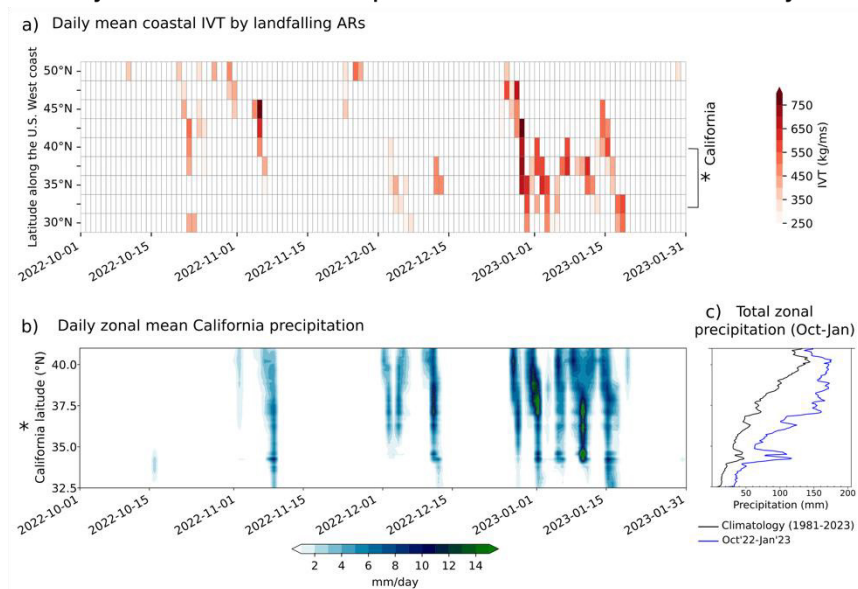
### Drought-Mitigating California AR Landfalls: December 26, 2022 – January 17, 2023



**Figure 3:** Date, landfall location, direction, and strength of nine atmospheric rivers (ARs) that made landfall along the California coastline from the December 26, 2022 – January 17, 2023 period. AR strength for each event is characterized using the AR scale ranking system introduced by Ralph et al. (2019).

Figure 4 provides another perspective of this shift over California from predominantly dry conditions during October 2022 – November 2022 into AR-driven wet conditions during December 2022 – January 2023. Panel a) shows daily mean coastal IVT associated with landfalling AR events according to the SIO-R1 AR catalog (Gershunov et al. 2017) from October 1, 2022 through January 31, 2023, and panel b) shows daily zonal mean precipitation over California during this same period. The accumulated zonal mean precipitation over California for this period is shown in panel c. At all latitudes along the California coastline, zonal mean precipitation that fell during the October 2022 – January 2023 period was above normal, with some areas receiving triple the amount of precipitation relative to average conditions (e.g., near 37°-38°N). Panels a) and b) visually convey the clustering of the ARs and their associated extreme precipitation during the late December 2022 – early January 2023 period that substantially contributed to the total precipitation that fell during the broader period.

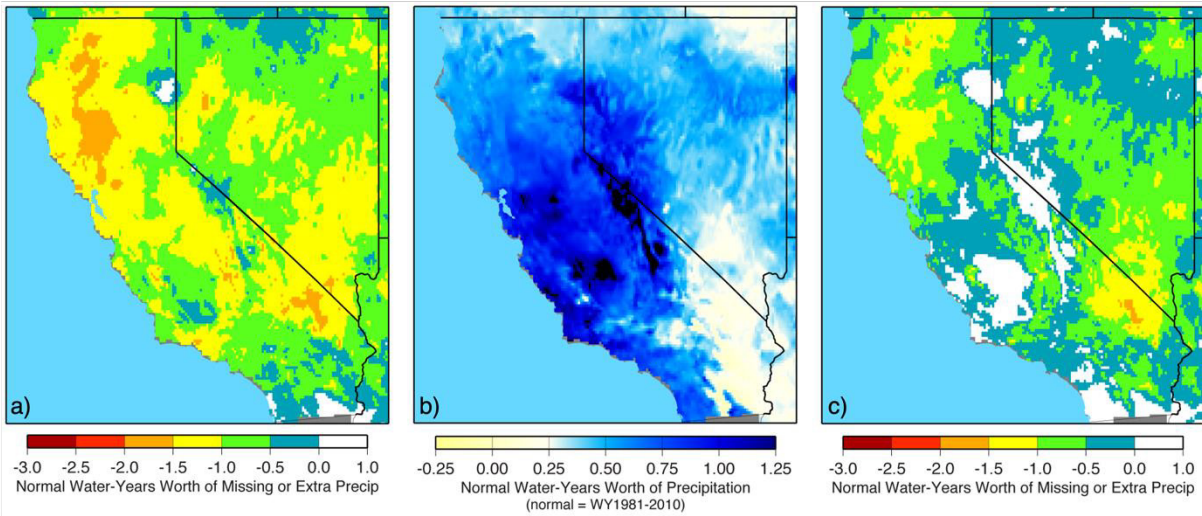
#### AR Activity and California Precipitation: October 2022 – January 2023



**Figure 4:** a) Daily mean coastal integrated vapor transport (IVT) by atmospheric river (AR) events according to the SIO-R1 AR catalog (Gershunov et al., 2017); b) daily zonal mean precipitation over California based on PRISM data (Daly et al., 2008); c) total zonal mean California precipitation (blue line) through the October 2022 – January 2023 period with comparison to climatology (black line; 1981-2023 period).

This remarkable family of landfalling ARs caused both benefits (e.g., reservoir replenishment) and hazards (e.g., flooding, high winds, and debris flows) and contributed a significant fraction of the total winter drought reduction across California. Figure 5 demonstrates this by showing deviations from 1981-2010 normal of precipitation accumulated during (a) October 2019 (beginning of recent drought) through November 2022, (b) total precipitation between December 2022 and January 2023, and (c) between October 2019 and January 2023, with missing or extra overall precipitation expressed in terms of normal water-years. During the October 2019 – November 2022 period when drought was still widespread, the equivalent of 1-2 normal water years' worth of precipitation did not fall across much of Northern and Central California; Southern California was also in a precipitation deficit, but its magnitude was generally smaller (values between 0-1 normal water-years equivalent deficit over much of coastal Southern California, with higher values between 1-2 near Santa Barbara County and over the Mojave Desert). However, by the end of the January 2023 season, many parts of Central California (including the Sierra Mountain Range), as well as coastal Southern California, were no longer in deficit mode, as indicated by the white regions in panel c). Conditions improved dramatically across Northern California, though many areas remain in deficit compared to normal conditions for the 3.5 year period shown in panel c). Notably, the inclusion of February 2023 and March 2023 in the calculation of panels b) and c) does not significantly modulate the magnitude and spatial structure of the “water years’ worth of precipitation” metric evaluated in Figure 5 (not shown).

Water-Years Worth of Missing or Extra Precipitation: Before and After December 2022 –  
January 2023 Regime Shift into Strong AR Conditions



**Figure 5:** Deviations from 1981-2010 normal of precipitation accumulated during (a) October 2019 (beginning of recent drought) through November 2022, (b) total precipitation between December 2022 and January 2023, and (c) between October 2019 and January 2023, with missing or extra overall precipitation expressed in terms of normal water-years. White areas in panel (c) are regions where the net 3.5 years of precipitation anomalies were no longer in deficit mode by the end of January 2023. Precipitation amounts illustrated here are the PRISM 4-km resolution monthly datasets.

California’s transition from extreme multi-year drought to historic precipitation, flooding, and snowpack levels in just a few months’ time made headlines around the world (e.g., Matza 2023, Toohey and Rust 2023), and prompted questions regarding the skill in various extended range prediction systems in forecasting this shift. This study will evaluate experimental seasonal and subseasonal prediction tools developed at the Center for Western Weather and Water Extremes (CW3E), the NASA Jet Propulsion Laboratory (NASA JPL; in partnership with CW3E), the University of Arizona, the International Research Institute for Climate and Society (IRI), the NOAA Earth Systems Research Laboratory (NOAA ESRL), the North American Multi-Model Ensemble (NMME), and the NOAA Climate Prediction Center (NOAA CPC) during the unprecedented 2022-2023 winter over California. We also examine forecasts made for the Upper Colorado River Basin, an area whose water supply is of critical importance to over 40 million U.S. residents, including those located in many California communities. We will also introduce new experimental seasonal and subseasonal synthesis forecast products which assemble forecast information across these different institutions and methods. Emphasis will be placed on the December 2022 regime shift into



AR-driven wet conditions, since this succession of storms was the first significant sign of drought alleviation across the state in several years. We note that the subsequent active AR and precipitation period in February 2023 – March 2023 was also very important in continuing the replenishment of reservoirs and snowpack across the region. However, our evaluation focuses on the November 2022 – January 2023 period, as it accounted for the statewide elimination of Extreme and Exceptional drought over California, and substantial reductions in the spatial extent of Severe and Moderate drought.

*b. Experimental subseasonal and seasonal hydroclimate prediction tools generated at CW3E and collaborating institutions*

Stakeholders and scientific organizations around the globe have increasingly recognized the need to improve prediction of total precipitation beyond weather timescales, as well as the drivers of hydroclimate variability, such as ARs and circulation regimes. End users stand to benefit from improvements in both subseasonal (2-6 week lead time) and seasonal (1-6 month lead time) forecasts of these variables (Gershunov and Cayan 2003; Waliser et al. 2006; Gottschalck et al. 2010; NASEM 2010, 2016; Vitart et al. 2017; Merryfield et al. 2020; Mariotti et al. 2020; DeFlorio et al. 2021; White et al. 2022; Sengupta et al. 2022). During this recent period of substantially increased investment in improving forecasts of hydroclimate variables beyond weather lead times, CW3E and collaborating institutions have investigated research topics and designed experimental forecast tools for ARs, circulation regimes, and total precipitation at both subseasonal (DeFlorio et al. 2019a,b; Gibson et al. 2020a,b; Robertson et al. 2020; Castellano et al. 2023; Wang et al. 2023; Zhang et al. 2023) and seasonal (Gershunov and Cayan 2003; Gibson et al. 2021; Kirtman et al. 2014; Switanek and Hamill 2022; Scheftic et al. 2023) lead times. In response to this growing suite of institutions, methods, and experimental forecast products, CW3E has recently created several new experimental subseasonal and seasonal synthesis forecast products to help summarize key experimental forecast information to stakeholders and end users ([https://cw3e.ucsd.edu/s2s\\_forecasts/](https://cw3e.ucsd.edu/s2s_forecasts/)). In this paper, we will introduce these new experimental subseasonal and seasonal synthesis forecast products, applied to the historic 2022-2023 winter period over California and the Upper Colorado River Basin. In addition,

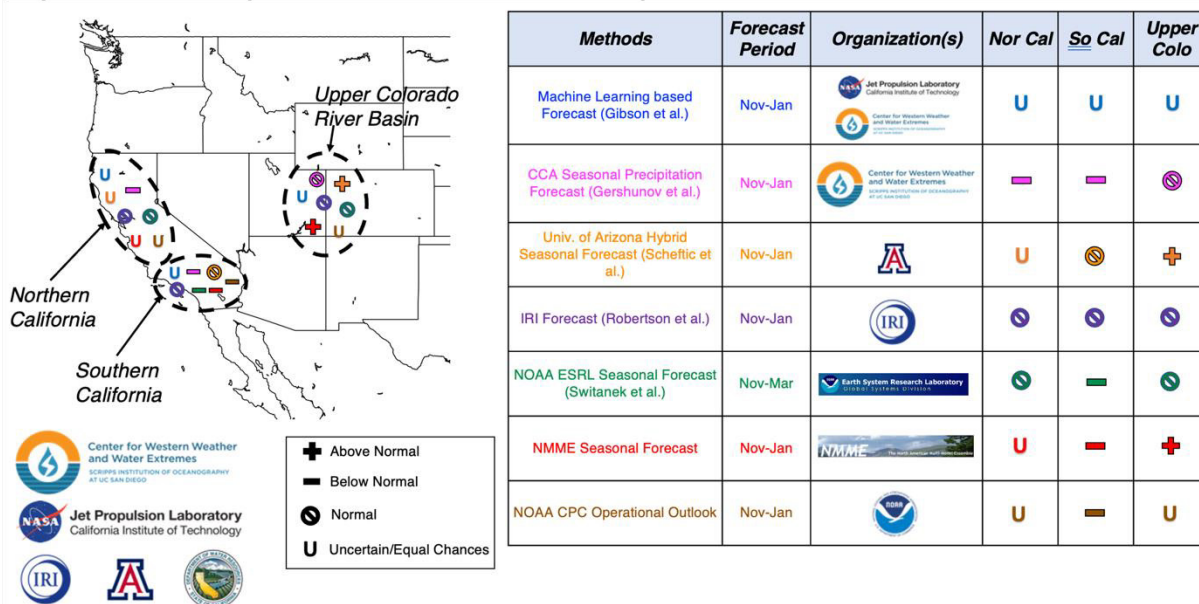
the experimental forecasts from contributing universities, institutions, and agencies that populate the experimental synthesis products will be provided for context.

## **2. Synthesizing Experimental Seasonal Precipitation Forecasts during Winter 2022-2023**

### *a. Overview of methods, regions of interest, and experimental seasonal forecasting teams*

At the start of Water Year 2023, experimental seasonal forecasts of precipitation were issued by several universities, institutions, and agencies across the U.S. Despite important differences in underlying methodologies, each of these products provided probabilistic forecasts of precipitation across the western U.S. region. Figure 6 summarizes these forecasts for three key regions of interest to western U.S. water managers: Northern California, Southern California, and the Upper Colorado River Basin. The left panel shows the probabilistic forecasts in each region from each underlying method; the probabilistic category for the forecasts is denoted by symbols (Above Normal (+), Below Normal (-), Normal ( $\emptyset$ ), and Uncertain/Equal Chances (U)), and the colors of the symbols correspond to individual universities, institutions, or agencies that issued the forecast. The Uncertain/Equal Chances category is issued for a forecast when a majority of ensemble members for a given prediction system disagree on the sign of the forecasted precipitation anomaly over the region of interest.

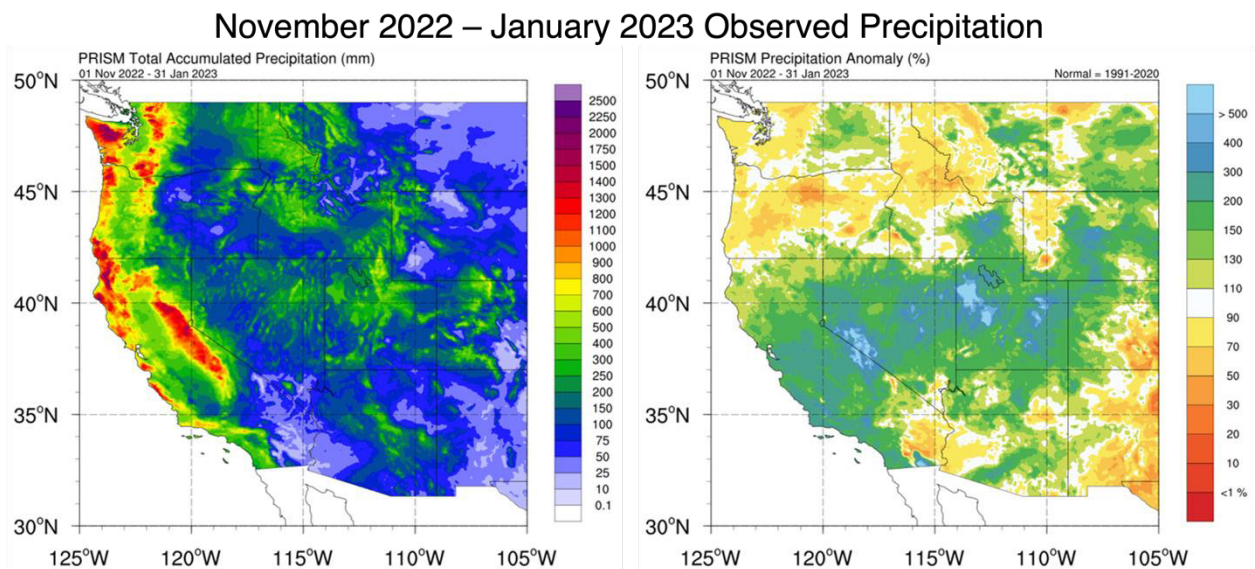
## Synthesized Experimental Seasonal Precipitation Forecasts: Winter 2022 - 2023



**Figure 6:** Experimental seasonal precipitation forecasts for winter 2022-2023 (November through January and November through March) issued by various organizations, institutions, and universities. Results are summarized over three key regions of interest to western U.S. water resource managers: Northern California (Nor Cal), Southern California (So Cal), and the Upper Colorado River Basin (Upper Colo). +, -, ⊗, and U symbols denote Above Normal, Below Normal, Normal, and Uncertain/Equal Chances precipitation categories, respectively.

The right panel of Figure 6 provides a tabular summary of the underlying methods, forecast period (five methods provide forecasts for November 2022 – January 2023, and one for November 2022 – March 2023), organizations, and regional summaries. Displaying the various probabilistic experimental seasonal precipitation forecasts in this collective and synthesized fashion enables easier end user interpretation of similarities and differences across the various methods, organizations, and regions of interest. For reference, each of the individual forecasts presented in Figure 6 is included in the Supplementary Material (Figures S2-S8). Several key studies documenting the historical skill of these experimental seasonal prediction systems include Gibson et al. 2021 (CW3E Machine Learning models), Gershunov and Cayan (2003) (CW3E CCA model), Scheftic et al. 2023 (U. Arizona model), Switanek and Hamill 2022 (ESRL model), and both Becker et al. 2022 and Kirtman et al. 2014 (NMME). The IRI experimental seasonal forecast is made at <https://iri.columbia.edu/our-expertise/climate/forecasts/seasonal-climate-forecasts/>.

For comparison, raw precipitation values (mm; left panel) and the associated anomalies (%; right panel) relative to normal conditions (1991-2020 baseline period) during the November 1, 2022 – January 31, 2023 period are shown in Figure 7, calculated using PRISM data (Daly et al. 2008)<sup>1</sup>. While case studies like this are useful, we caution readers that single forecasts cannot be used to infer the historical skill (or lack thereof) of any seasonal prediction system.



**Figure 7:** Total raw accumulated precipitation (mm; left panel) and precipitation anomaly (%; right panel) for the November 1, 2022 – January 31, 2023 period. Anomalies in the right panel are calculated with respect to the 1991-2020 period. Precipitation data is obtained from the PRISM Climate Group (Daly et al. 2008).

*b. Forecast evaluation over Northern California, Southern California, and Upper Colorado River Basin regions*

In general, the suite of experimental seasonal precipitation forecasting methods summarized in Figure 6 struggled to capture the large-scale precipitation anomaly patterns that were observed during the period of verification. However, there are notable exceptions which will be discussed below. Over Northern California, observed precipitation anomalies

<sup>1</sup>We note that although the Switanek and Hamill method predicted precipitation through March 31, 2023, the overall magnitude and spatial structure of the precipitation anomaly fields are very similar whether the end date of the period of consideration is January 31 or March 31 (see Figure S1).

ranged from 110-300% of normal; however, the experimental seasonal prediction systems favored above normal precipitation over this region. Four of the methods forecasted Uncertain/Equal Chances, two methods forecasted Normal, and one method forecasted Below Normal. However, several individual models that are components of the individual prediction systems favored wetter-than-normal conditions across much of this region (especially over the Sierras and near the Bay Area), including the CW3E XGBoost and Neural Network Machine Learning models (Fig. S2), as well as the NMME GFDL-SPEAR model (not shown).

Over Southern California, observed precipitation anomalies were generally above normal near coastal regions of Ventura, Los Angeles, Orange County, and San Diego Counties, but were below normal over the eastern reaches of San Bernardino, Riverside, and Imperial Counties. A majority (four out of seven) of the experimental seasonal prediction systems forecasted Below Normal over Southern California (except for the IRI and University of Arizona forecasts, which were classified as Normal, and the CW3E forecasts, which were classified as Uncertain/Equal Chances). The prominence of below normal precipitation forecasts over Southern California was not surprising, given that the phase and amplitude of the El Niño-Southern Oscillation (ENSO) are generally among the most prominent predictor variables in all the prediction systems considered here, and that ENSO had remained in an extensive La Niña (cool) phase since 2020 (Fang et al. 2023). Additionally, drier than normal wintertime conditions over Southern California have been historically associated with the presence of preceding and contemporaneous La Niña events in the tropical Pacific (e.g., DeFlorio et al. 2013). Previous studies have examined similar seasonal precipitation forecasting busts over California during the winter of 2015-2016, which was preceded by a strong El Niño event that did not bring predicted wetness to the state (Siler et al. 2017; Singh et al. 2018). It is also important to note that ENSO teleconnections related to North Pacific circulation anomalies are strongest in late winter compared to late fall and early winter (Chapman et al. 2021).

Across the Upper Colorado River Basin, observed precipitation anomalies were generally near normal or above normal, with some areas receiving up to ~400% of normal precipitation

over the November – January period of interest (e.g., Eastern Utah near the Colorado River and Green River confluence region). There was large uncertainty across methods in the precipitation anomaly category forecasts. Three methods forecasted Normal conditions, and two methods each forecasted Above Normal or Uncertain/Equal Chances.

*c. Potential sources of error and future directions for western U.S. experimental seasonal precipitation forecasting*

While significant progress has been made to date in designing, testing, and implementing innovative experimental seasonal prediction systems, the well-known challenges specific to seasonal forecasting of precipitation over California remain. These include the deficiency in dynamical models for predictions of ENSO-independent circulation anomalies at seasonal timescales over the North Pacific Ocean, and related Rossby wave train source regions (Jiang et al. 2022). In addition, many of the experimental seasonal prediction systems discussed in this study have been designed to predict total precipitation anomalies over the western U.S. region. However, as California experienced once again during winter 2022 – 2023, ARs are often the driver of significant precipitation events during the winter season that disrupt ongoing periods of drought (Dettinger et al. 2011, Dettinger 2013, Ralph et al. 2018). Consequently, seasonal precipitation anomalies across California are highly sensitive to the occurrence of a handful of extreme events that can occur over a relatively short period. This was observed during the December 26, 2022 – January 17, 2023 period (only 23 days), during which much of Central California and Southern California received > 50% of their normal total water year precipitation. Future experimental seasonal prediction systems that include ARs, AR-related precipitation, and integrated vapor transport (IVT) anomalies as predictands can be designed and compared against existing methods that are focused only on total precipitation.

### **3. Experimental Subseasonal Forecasts: Applications to December 2022 – January 2023 Active AR Period over California and Introduction of New CW3E Experimental Subseasonal Synthesis Forecast Product**

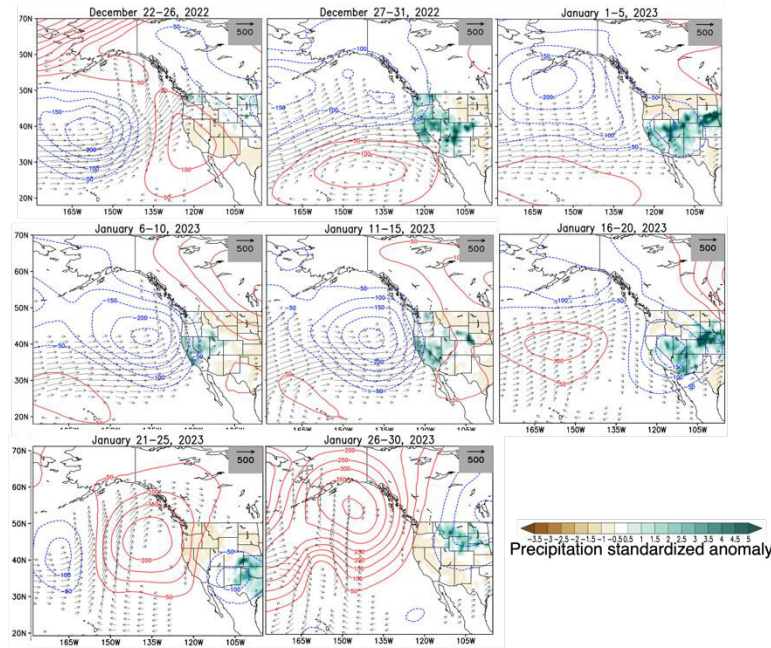
In section 2, we examined a wide range of experimental seasonal precipitation forecasts made at the start of the 2022-2023 winter season and introduced a new experimental seasonal synthesis forecasting product (shown in Figure 6) to aid end users in interpreting and comparing experimental seasonal forecasts across different methods and research groups. In this section, we now shift our focus from seasonal forecasts towards subseasonal forecasts and the remarkable transition that occurred over the western U.S. from large-scale ridging and dry conditions to broad troughing that was associated with the landfall of nine ARs across the western U.S. coastline in a three week period from December 26, 2022 – January 16, 2023. We will examine the observed shift in circulation and IVT anomaly patterns over this period, along with the evolution of the Madden-Julian oscillation (MJO). Subsequently, we will evaluate experimental subseasonal forecasts of AR activity and circulation regimes made at CW3E and IRI. We will conclude this section by introducing a new experimental subseasonal synthesis forecast product developed at CW3E and in collaboration with stakeholders at California DWR.

#### *a. Diagnosing the regime shift from widespread ridging and dry conditions to historic drought-mitigating AR activity over California*

Figure 8 shows pentad mean precipitation (shading; mm/day), 500-hPa geopotential height anomalies (contours), and IVT (arrows; kg/m/s) during the December 22, 2022 - January 30, 2023 period. Into the third week of December, the western U.S. remained in a persistent ridging pattern, with positive Z500 anomalies (Z500a) covering much of the coastline, and widespread below normal precipitation conditions persisting over land. However, during the last week of December, a substantial change in the regional circulation occurred, with a shift to troughing conditions associated with substantial IVT anomalies directed at California's coastline. This regime of deep troughing, persistent AR activity, and associated above normal precipitation conditions remained in place through the second week

of January. During the January 21-30 period, ridging conditions centered over the Gulf of Alaska and offshore British Columbia regions emerged, marking the return of drier-than-normal conditions across the U.S. West Coast.

**Evolution of North Pacific/Western U.S. Synoptic Conditions: late December 2022 – late January 2023**



**Figure 8:** Pentad mean precipitation (shading; mm/day) and 500-hPa geopotential height anomalies (contours), and integrated vapor transport (arrows;  $\text{kg m}^{-1}\text{s}^{-1}$ ) during the December 22, 2022 – January 30, 2023 period. Anomalies are calculated relative to the 1991-2020 climatology. Precipitation anomalies are standardized with green shading indicating positive anomalies and brown shading indicating negative anomalies. Red solid contours indicate positive geopotential height anomalies and blue dashed contours indicate negative geopotential height anomalies. Geopotential height and IVT fields are obtained from the ERA5 Reanalysis (Hersbach et al. 2020), and daily precipitation is obtained from NOAA CPC-Unified gauge-based analysis of daily precipitation over CONUS (Xie et al. 2007).

*b. Observed evolution of the MJO during late December 2022 – early January 2023*

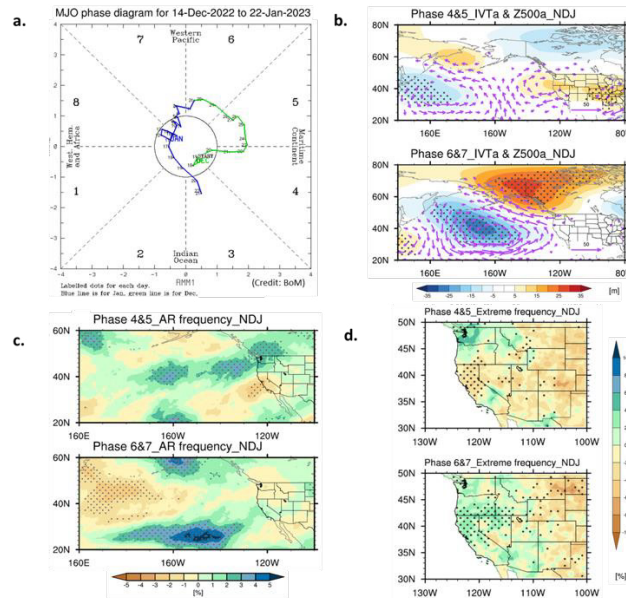
The Madden–Julian oscillation (MJO) is a tropical planetary-scale convectively coupled system that has a period of approximately 30–60 days (Madden and Julian 1971, 1972). It travels from the Indian Ocean to the date line where its convective signal often tends to diminish. The diabatic heating associated with the MJO leads to the formation of an anomalous Rossby wave source in the subtropics, from which a Rossby wave propagates



eastward along the jet and emanates at the exit region in the midlatitudes. As a result, these MJO teleconnections can strongly modulate midlatitude weather and climate phenomena, including precipitation and temperature patterns (Zhou et al. 2012), ARs (Mundhenk et al. 2016; Zhou et al. 2021), and storm tracks (Deng and Jiang 2011). MJO teleconnections evolve with the eastward MJO propagation in the tropics. When the MJO is active over the western Pacific Ocean, there is a higher likelihood of anomalous troughing occurring over the North Pacific. This would lead to anomalous eastward moisture transport over the subtropical Pacific Ocean and the U.S. West Coast, along with an increased chance of AR activity and extreme precipitation. When the MJO is active over the Indian Ocean, there is a higher likelihood of anomalous ridging over the North Pacific, and ultimately the likelihood of AR activity and extreme precipitation over the U.S. west coast decreases (Guan et al. 2012; Guan and Waliser 2015; Mundhenk et al. 2018; Wang et al. 2023).

Given the relevance of the MJO in modulating western U.S. extreme precipitation, and its potential importance as a relevant predictor variable for subseasonal forecasting, we next examine the observed behavior of the MJO concurrent with the circulation regime shift from dry to wet conditions described in the previous section. Figure 9a shows the MJO phase diagram for the December 14, 2022 – January 22, 2023 period. During the December 20-28, 2022 period, the MJO was active over the Maritime Continent region (phases 4&5) and then propagated into the Western Pacific (phases 6&7) during the December 29, 2022 – January 5, 2023 period.

## Evolution of MJO Conditions: late December 2022 – early January 2023 and Historical Context



**Figure 9:** a) MJO phase diagram for the December 14, 2022 - January 22, 2023 period, provided by the Australian Bureau of Meteorology (BoM). The green line is for December 2022, and the blue line is for January 2023. b) 5–9-day averaged lagged response of MERRA2 filtered Z500 (shading; m) and IVT (vectors;  $\text{kg m}^{-1}\text{s}^{-1}$ ) anomalies to day 0 MJO phases 4&5 and 6&7 in November to January (NDJ) composited over 1980-2020. The dotted areas represent significant Z500 anomalies (Z500a) exceeding the 95% confidence level according to the two-tailed Student's t-test. Vectors that are shown are significant IVT anomalies (IVTa). c) The 5–9-day averaged lagged response of AR frequency in NDJ after MJO phases 4&5 and 6&7 composited over 1980-2020. The AR frequency was determined based on the MERRA2 AR detection dataset (Guan and Waliser 2015; Guan et al. 2018). The dotted areas represent significant AR changes exceeding the 95% confidence level according to the 1000-times-iteration moving-blocks bootstrapping test. d) Absolute changes (defined as precipitation extremes in strong MJO phases minus climatology) in frequency (%) of wet extremes averaged over 5–9 lagged days after active MJO days in phases 4&5 and 6&7 (shading). The extremes were selected when the CPC daily precipitation over 1979-2019 exceeds the 95th percentile of the gamma distribution of nonzero precipitation. Dots indicate that the changes are significant relative to climatology over the 95% confidence level based on the bootstrap test.

Figure 9b shows the 5–9-day averaged lagged response of MERRA2 filtered Z500 and IVT anomalies to day-0 MJO phases 4&5 and 6&7 during the November to January (NDJ) period composited over the 1980-2020 historical record. In the historical record, a transition of the MJO from phases 4&5 to phases 6&7 is associated with a weakening of Z500a along the western U.S. coastline and a circulation pattern that is more favorable for IVTa associated with ARs directed towards the California coastline. We more closely investigate the historical relationships of extreme precipitation anomalies and ARs themselves with these

particular MJO phase combinations in Figures 9c and 9d based on the results from Wang et al. 2023.

Figure 9c shows the 5–9-day averaged lagged response of AR frequency in NDJ after MJO phases 4&5 and 6&7 composited over 1980-2020. Figure 9d shows absolute changes (defined as precipitation extremes in strong MJO phases minus climatology) in frequency (%) of wet extremes averaged over 5–9 lagged days after active MJO days in phases 4&5 and 6&7 (shading). The extremes were selected when the CPC daily precipitation over 1979-2019 exceeds the 95th percentile of the gamma distribution of nonzero precipitation.

The composites in Figures 9c and 9d show that a phase shift of an active MJO event from phases 4&5 to phases 6&7 is consistent with tipping the odds in favor of higher frequency of ARs and extreme precipitation across much of California during NDJ. Although many other processes within the climate system can destructively interfere with canonical MJO teleconnection patterns, we find here that the above normal AR activity and the associated extreme precipitation over California during late December 2022 and early January 2023 are consistent in sign with (although larger in magnitude than) historical relationships between the MJO and western U.S. extreme precipitation frequency analyzed in Wang et al. (2023). Future studies examining the role of other modes of climate variability relevant to subseasonal and seasonal prediction (e.g., the Arctic Oscillation (AO) and ENSO) in potentially influencing the observed regime shift and increase in AR activity along the California coastline would be valuable to both the research community and stakeholders interested in this historic period. For example, changes in MJO characteristics may affect its resultant impact on extreme precipitation over the western U.S. region. Toride and Hakim (2022) found that western U.S. ARs tend to occur more often when the MJO is more stationary and propagates at a slower speed. The background state is also important. In addition, the MJO may lead to more western U.S. ARs during El Nino conditions (Toride and Hakim 2022).

*c. Evaluation of experimental subseasonal weather regime (IRI) and AR activity (CW3E) forecast products*

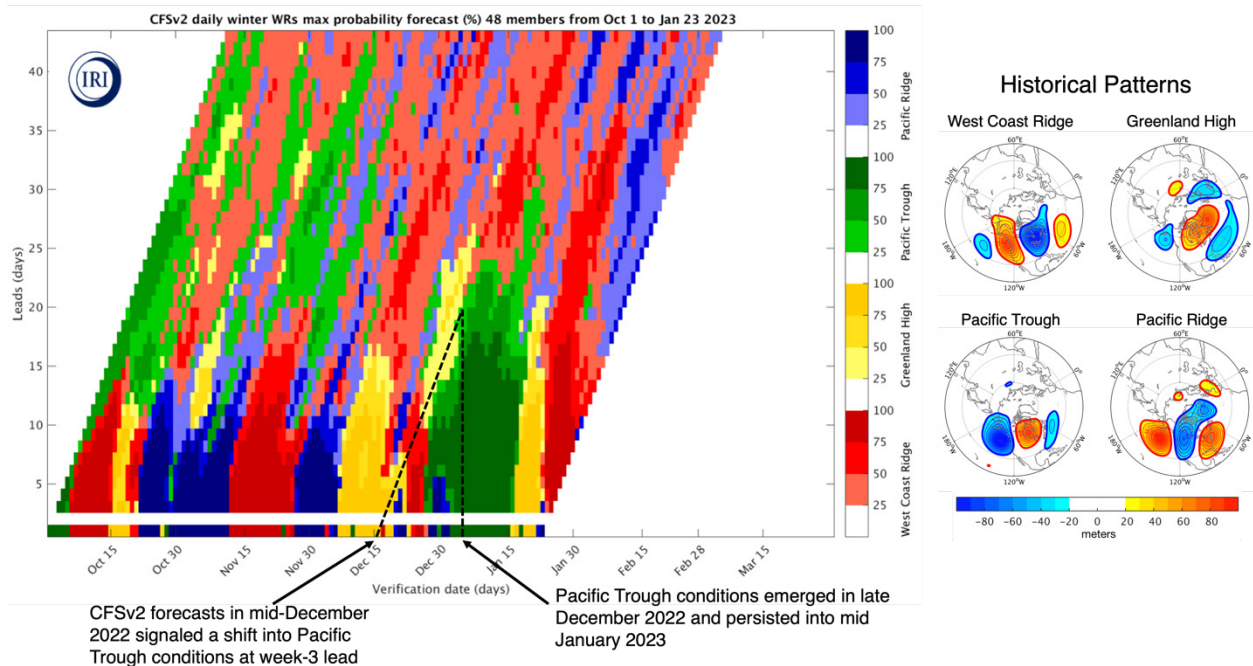
Figures 8-9 provide diagnostics of the observed circulation regime shift and accompanying phase transition of the MJO during late December 2022 and early January 2023. We now shift our focus towards evaluating two experimental subseasonal forecast products during this period: the IRI experimental subseasonal weather regime outlook (Robertson et al. 2020), and the CW3E experimental subseasonal AR activity outlook (DeFlorio et al. 2019b).

Figure 10 shows the IRI experimental subseasonal weather regime outlook, based on CFSv2 forecasted large-scale circulation regimes (colors) vs. lead time (y-axis) for daily forecasts starting from October 1, 2022 to January 23, 2023 (x-axis). This outlook was subsequently updated every day until March 31, 2023, filling in the entire plot. Color shading that is constant in the vertical direction corresponds to a regime pattern that was skillfully predicted at a lead time corresponding to the y-axis value where the shading changes color. The color saturation provides an estimate of the forecast probability, computed from the number of ensemble members closest to that regime centroid. The goal of this analysis was to identify and graphically depict the CFSv2 forecasts and their evolution in terms of large-scale circulation/teleconnection patterns as guidance for forecasters.

Throughout much of October 2022 and November 2022, the IRI outlook alternated between Pacific Ridge (blue) and West Coast Ridge (red) conditions, which are historically associated with widespread positive Z500 anomalies centered over the Gulf of Alaska and North American coastline, respectively, and accompanying below normal precipitation anomalies over California (Robertson et al. 2020). We note that the historical relationship between below normal precipitation anomalies over California is much stronger and more spatially extensive during West Coast Ridge conditions compared to Pacific Ridge conditions. Throughout the first two weeks of December, the observed circulation regime most closely resembled the Greenland High (yellow) pattern, which is also associated with below-normal precipitation anomalies over Northern California (though the anomalies are not statistically significant). However, beginning around the second week of December, the

IRI tool showed a forecasted shift into Pacific Trough (green) conditions 2-3 weeks later during the end of December and early January. This predicted regime shift verified around December 30, 2022 and represents a skillful CFSv2 experimental subseasonal forecast for the observed transition from widespread ridging and associated dry conditions over California to deep troughing and successive landfalling ARs along the California coastline. We also note that this was a somewhat chaotic transition between December 26, 2022 – January 2, 2023, as all four regime types appeared before Pacific Trough regime conditions finally stabilized into the third week of January.

### IRI Experimental Subseasonal Weather Regime Tool: October 1, 2022 – January 23, 2023



**Figure 10:** International Research Institute for Climate and Society (IRI) experimental subseasonal weather regime outlook (left panel), based on CFSv2 forecasted large-scale circulation regimes (colors) vs. lead time (y-axis) for daily forecasts starting from October 1, 2022 to January 23, 2023 (x-axis). The forecasts were subsequently updated every day through March 31, 2023. Colors indicate the observed regime pattern (labeled on right) that the CFSv2 forecast most closely resembles (mean of 48 members of a 3-day lagged ensemble, smoothed with 5-day running averages), expressed as a percentage of ensemble members. Thus, the plotted sequence for a lead of 3 days represents an average over days 1–5 of the forecast, and lead of 2 days is left white. The daily evolution of the CFSv2 analysis (i.e., the lead-0 forecast) is shown along the bottom row of the plot. Color saturation provides an estimate of the similarity between the observed historical regime pattern (from MERRA reanalysis; introduced in Robertson et al. 2020) and the forecast ensemble mean. The historical regime patterns are shown on the right panel for reference.

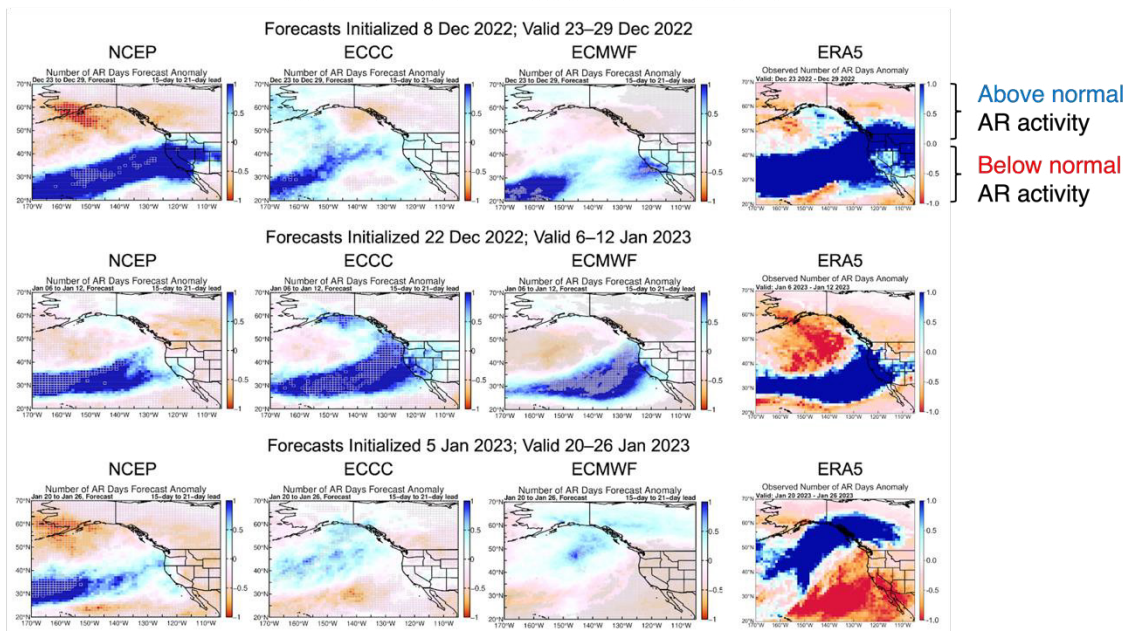
This substantial regime shift in December 2022 from ridging to troughing conditions appears to have been more predictable than the regime transitions earlier in the Water Year. For example, during October-November, we see skill in certain regime shifts on the order of 10-15 days, whereas starting in late December we see more extensive skill in weeks 2-3. Understanding these skill differences is a topic for further research and is being explored in ongoing studies using methods introduced by Guirguis et al. (2020).

In addition, we note that while this December 2022 regime shift was generally well forecasted, the circulation regimes forecasted using this methodology represent large-scale patterns that do not always capture important details along the coast that might affect AR landfalls and precipitation over California. To gain a more detailed look at the forecasted impacts associated with this developing Pacific Trough regime, we use the AR activity forecasts developed for weeks 1-4.

An evaluation of the CW3E experimental subseasonal AR activity outlook (DeFlorio et al. 2019b) during this period is shown in Figure 11 for the week-3 lead time. Forecasts initialized on December 8, 2022 (top row), December 22, 2022 (middle row), and January 5, 2023 (bottom row) 00Z forecasts (week-3 lead time) from the National Centers for Environmental Prediction (NCEP) (left column), Environment and Climate Change Canada (ECCC) (center-left column) and European Centre for Medium-Range Weather Forecasts (ECMWF) (center-right column) subseasonal dynamical ensembles, along with observed AR activity based on ERA5 reanalysis (right column). The valid period for each forecast is December 23, 2022 – December 29, 2022 (top row), January 6, 2023 – January 12, 2023 (middle row), and January 20, 2023 – January 26, 2023. Values plotted are the ensemble mean forecast anomalies relative to each model's hindcast climatology (left three columns) and observed anomalies relative to the ERA5 climatology (right column). Consistent with the skillful weeks 2-3 lead time regime shift discussed previously, the AR activity outlooks based on dynamical model data from each of the three centers showed significant AR activity over the North Pacific and western U.S. coastline for both the December 23 – 29, 2022 and January 6 – 12, 2023 valid periods. Although the models were not skillful in capturing finer resolution details of these weekly AR activity periods (e.g., the extent of inland AR penetration), it is clear from an evaluation of these two experimental subseasonal forecast tools that they were able to capture the large-scale shift in regional circulation patterns and its

associated increase in AR activity, leading to the historic drought-mitigating precipitation observed over California in the late December 2022 – mid January 2023 period. In addition, the week-3 forecasts in both the ECCC and ECMWF ensemble systems skillfully predicted the end of this active AR period and a temporary return to dry conditions observed from January 20, 2023 – January 26, 2023, though the observed magnitude of below AR activity anomalies across the western U.S. was higher than predicted, and the NCEP model failed to predict this shift. We emphasize that despite the skill in dynamical ensemble predictions of the regime shift investigated here, substantial barriers remain in generating reliable experimental subseasonal predictions of circulation regimes, ARs, and precipitation over the western U.S. for end users.

### CW3E Experimental Subseasonal AR Activity Tool: Late December 2022 and Early January 2023 Week-3 Forecasts and Verification



**Figure 11:** Center for Western Weather and Water Extremes (CW3E) experimental subseasonal atmospheric river activity outlooks (introduced in DeFlorio et al. 2019b) for December 8, 2022 (top row), December 22, 2022 (middle row), and January 5, 2023 (bottom row) 00Z forecasts (week-3 lead time) from the National Centers for Environmental Prediction (NCEP) (left column), Environment and Climate Change Canada (ECCC) (center-left column) and European Centre for Medium-Range Weather Forecasts (ECMWF) (center-right column) subseasonal dynamical ensembles, along with observed AR activity based on ERA5 reanalysis (right column). Values plotted are the ensemble mean forecast anomalies relative to each model’s hindcast climatology (left three columns) and observed anomalies relative to the ERA5 climatology (right column). The valid period for each forecast is December 23, 2022 – December 29, 2022 (top row), January 6, 2023 – January 12, 2023 (middle row), and January 20 – January 26, 2023 (bottom row).

*d. CW3E experimental subseasonal synthesis forecast product: December 22, 2022 forecast*

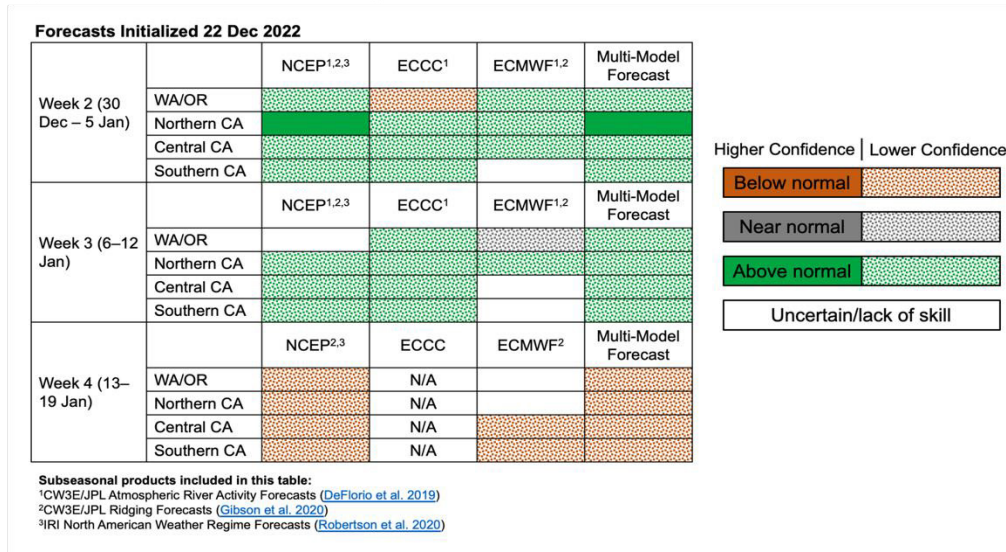
During the last two winters, CW3E researchers have worked in close coordination with collaborators to create experimental subseasonal synthesis forecast products that seek to provide probabilistic categories of precipitation anomalies summarized across three individual experimental subseasonal forecast products: the aforementioned CW3E experimental subseasonal AR activity outlooks (DeFlorio et al. 2019b) and IRI experimental subseasonal weather regime outlooks, as well as the CW3E experimental subseasonal ridging outlooks (Gibson et al. 2020a,b). These products were designed in tandem with stakeholders at the California Department of Water Resources (DWR) who provided significant input and feedback to help improve the interpretability of the outlooks for end users.

An example of this forecast synthesis product is shown in Figure 12 for the December 22, 2022 00Z dynamical model forecast alluded to previously. The regions summarized in the experimental subseasonal synthesis tool include Washington/Oregon (WA/OR), Northern California, Central California, and Southern California (each row). Forecast results from NCEP, ECCC, and ECMWF are shown respectively in each column. The superscripts indicate the different types of subseasonal products being considered in the synthesized forecasts. High confidence is determined when there is a  $\geq 75\%$  probability (fraction of ensemble members in each model) of a pattern conducive to Above Normal, Below Normal, or Near Normal conditions in an individual forecast project, and if the majority ( $>50\%$ ) of the forecast products agree on the sign of the anomaly. Low confidence is determined when there is a  $<75\%$  probability of a pattern conducive to above normal, below normal, and near normal conditions, and  $>50\%$  of the forecast products agree on the sign of the anomaly. If the individual forecast products disagree on the sign of the anomaly, the synthesized forecast is classified as uncertain. For this example, the multimodel probabilistic forecast was for Above Normal precipitation to occur in all four regions at both week-2 (December 30, 2022 – January 5, 2023 valid period) and week-3 (January 6, 2023 – January 12, 2023 valid period) lead times, with the highest confidence over Northern California. The multimodel



probabilistic forecast also favored a return to Below Normal precipitation conditions at week-4 lead time (January 13, 2023 – January 19, 2023 valid period), but with relatively low confidence, and with considerable uncertainty in the ECCC ensemble.

### CW3E Experimental Subseasonal Synthesis Forecast Product: December 22, 2022 Forecasts



**Figure 12:** CW3E experimental subseasonal (weeks 2-4 lead time) synthesis forecast product for December 22, 2022 00Z subseasonal dynamical ensemble forecasts. The regions include Washington/Oregon (WA/OR), Northern California, Central California, and Southern California (each row). Forecast results from three models (NCEP, ECCC, ECMWF) are shown respectively in each column. The superscripts indicate the different types of subseasonal products being considered in the synthesized forecasts. High confidence is determined when there is a  $\geq 75\%$  probability of a pattern conducive to above normal, below normal, or near normal conditions, and if the majority ( $>50\%$ ) of the forecast products agree on the sign of the anomaly. Low confidence is determined when there is a  $<75\%$  probability of a pattern conducive to above normal, below normal, and near normal conditions, and  $>50\%$  of the forecast products agree on the sign of the anomaly. If the individual forecast products disagree on the sign of the anomaly, the synthesized forecast is classified as uncertain.

CW3E has regularly produced these experimental subseasonal synthesis forecast products on its website during winter 2022 – 2023 ([cw3e.ucsd.edu/s2s\\_forecasts](http://cw3e.ucsd.edu/s2s_forecasts)). The case study presented here for the December 22, 2022 forecast shows an example of the potential utility of these experimental subseasonal synthesis forecast products in conveying information in a concise graphical way that helps end users understand how odds are tilted towards wet or dry conditions in the coming weeks across California and the Pacific Northwest.

## 4. Summary and Future Directions

In this work, observations and experimental subseasonal and seasonal forecasts from the unusually wet winter of 2022-2023 were examined in detail over California and the Upper Colorado River Basin (seasonal only). Due largely to a family of nine landfalling atmospheric rivers (ARs) over California in late December 2022 and early January 2023, the intense multi-year drought that plagued California and much of the western U.S. was substantially alleviated (except over extreme Northern California and parts of the Mojave Desert), with reservoirs generally replenished and massive snowpack accumulated across the region by the arrival of spring. We note that despite this relief in the form of heavy and continuous precipitation, wetlands and forests across the western U.S. still bear the scars of the extensive drought, and groundwater overdrafts remain unreplenished. The Colorado River basin storage in Lakes Powell and Mead also remains very low, and these reservoirs would require multiple years of well above normal precipitation to fully recover from the drought since they can hold up to four years of normal runoff. This highlights the cumulative impacts of the extreme drought that will persist beyond this winter. In addition, devastating flooding and debris flows across California caused by landfalling ARs and their associated extreme precipitation were widespread, highlighting the hazardous counterparts associated with the beneficial relief that the state experienced. It is also noteworthy that although the December 2022 – January 2023 period was critical in alleviating multiyear drought conditions over California, much of the state continued to receive steady precipitation and accumulated snowpack during the rest of the winter and through early spring, which was important as well (e.g., Figure 2).

New experimental seasonal and subseasonal synthesis forecast products created at the Center for Western Weather and Water Extremes (CW3E) in collaboration with several universities, institutions, and agencies (for contributors, see Figures 6 and 12), along with California Department of Water Resources (DWR) stakeholders, were also introduced in the context of experimental forecasts made during this winter (Figures 6 and 12). These products are designed to provide concise situational awareness guidance to western U.S. water managers and other applied end users based on relevant experimental seasonal and subseasonal forecast products for this region.

Nearly all the experimental seasonal forecasts of wintertime precipitation anomalies over the western U.S., made in late autumn 2022, incorrectly predicted drier than normal conditions over Southern California. Although dryness was observed over the interior deserts, the heavily populated coastal Southern California region was much wetter than normal. Experimental seasonal forecasts over Northern California were dominated by the Uncertain/Equal Chances or Normal categories (four and two predictions, respectively), whereas observed precipitation anomalies were broadly above normal during this period. Over the Upper Colorado Basin, there was also considerable uncertainty across the different experimental seasonal forecasts, with at least two experimental predictions favoring each of the Normal, Above Normal, and Uncertain/Equal Chances categories (three, two, and two predictions, respectively). Observed precipitation anomalies during the November 2022 – January 2023 period were above normal in this region. Several prediction systems made particularly accurate forecasts of above normal precipitation across the western U.S. region, including the CW3E XGBoost and Neural Network Machine Learning models, as well as the NMME GFDL-SPEAR model. However, it is possible these accurate predictions for only one season occurred by chance. Future studies focused on which sources of predictability were useful in these prediction systems for the winter 2022 – 2023 forecast would be very valuable.

Ongoing and future research on experimental seasonal prediction holds promise for improvements over current levels of skill. Merging forecast information on subseasonal timescales into seasonal prediction systems is an area of active research and has also been explored in previous studies (e.g., Yang et al. 2018). It is also noteworthy that when applying CW3E's experimental seasonal prediction system based on CCA analysis (Gershunov and Cayan 2003) separately to AR and non-AR precipitation (not shown), skill arises predominantly from predicting seasonal non-AR precipitation, while AR precipitation skill is low to the point of degrading the total seasonal precipitation forecasting skill. This suggests a key role for ARs as disruptors to skillful experimental seasonal precipitation forecasts and indicates that future research should focus on revising and refining some of the approaches to experimental seasonal forecasting discussed in this work. Additionally, process studies

investigating physical drivers in the climate system beyond ENSO that may increase the likelihood of disruptive ARs impacting California should be undertaken.

Observed diagnostics of the circulation regime shift that occurred across the North Pacific and western U.S. region in late December and early January 2023 were investigated in this study, along with a concurrent MJO transition from phases 4&5 to phases 6&7 that is consistent with an increased likelihood of extreme precipitation and AR activity over California. However, the persistence of the AR activity along the California coastline during this period was unusually intense and unlikely to be explained by the MJO transition alone. We note that based on the results from Castellano et al. (2023), the likelihood of wet conditions during NDJ under westerly QBO conditions, which were observed during the period of interest last winter, generally decreases over California. During JFM, very few combinations of MJO phase and lag time result in significantly increased probabilities of wet conditions under westerly QBO conditions. Therefore, based on these findings, the role of the QBO as a physical driver behind the observed regime shift from dry to wet over California in late December 2022 is unclear.

A closer examination of experimental subseasonal circulation regime and AR activity forecasts during late December 2022 and early January 2023 was provided. In general, the dynamical model ensembles which provide data for the experimental subseasonal forecast products discussed in this study were able to capture broad signals of a regime shift from ridging/dry to troughing/wet conditions at weeks 2-3 lead time over the North Pacific and western U.S., as well as the temporary return to dry conditions and below normal AR activity during the January 20, 2023 – January 26, 2023 period (Figs. 10, 11). Despite this compelling case study, subseasonal predictability of AR activity, regime shifts, and precipitation swings over California remains a challenging endeavor, and dynamical ensembles will not always capture future analogous swings in regional climate. Future studies further examining the underlying dynamical evolution of this remarkable three-week period over the North Pacific and western U.S. region, with an eye towards linkages to subseasonal predictability in dynamical models, would thus be highly valuable.

A new CW3E experimental subseasonal synthesis forecast product was shown for the December 22, 2022 00Z multimodel dynamical ensemble forecasts (Figure 12), and its process of development with stakeholders at the California DWR was described in detail. These experimental subseasonal synthesis products were regularly included in CW3E outlooks during winter 2022-2023 and will be routinely provided to end users in subsequent winters.

International collaboration and scientific innovations have helped improve the fidelity of experimental seasonal and subseasonal prediction systems over the last decade, which has been demonstrated by the development of new prediction systems with skill comparable to or exceeding that of existing methods (e.g., Gibson et al. 2021, Switanek and Hamill 2022, and others). However, fundamental challenges remain to provide useful information for stakeholders at these extended lead times. This is especially true for the problem of seasonal prediction, which is far more constrained by sampling variability over the historical record compared to experimental subseasonal predictions. However, with continued investment across the international research community, forecast improvements can likely be achieved. There is still substantial room for innovations both in science topics (e.g., the generation of high resolution dynamical hindcast ensemble systems and the training of new machine learning methods on relevant observations and model data) and the process of collaboration between researchers and stakeholders analogous to the examples described here. The analysis in this study of the important winter 2022 – 2023 regime shift that brought much needed drought relief to California explores both the promise and some limitations of the diverse array of recently developed experimental subseasonal and seasonal prediction tools at CW3E and collaborating institutions.

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### **Data Availability Statement**

Hindcast data used to calculate model climatologies used in this study are available as part of the S2S Database (<https://apps.ecmwf.int/datasets/data/s2s/levtype=sfc/type=cf/>). Real-time NCEP CFSv2 data is available via CPC (<https://www.nco.ncep.noaa.gov/pmb/products/cfs/>); the real-time ECMWF and ECCC data used in this study were obtained as part of a research license agreement with each institution. Observational data used in this study are publicly available and include U.S. Drought Monitor forecasts (<https://droughtmonitor.unl.edu/Data.aspx>), precipitation data from the California Data Exchange Center ([https://cdec.water.ca.gov/snow\\_rain.html](https://cdec.water.ca.gov/snow_rain.html)), the SIO-R1 AR Catalogue (<https://weclima.ucsd.edu/data-products/>), PRISM (<https://prism.oregonstate.edu>), the ECMWF ERA5 Reanalysis (<https://www.ecmwf.int/en/forecasts/dataset/ecmwf-reanalysis-v5>), and the Guan and Waliser AR Detection Algorithm Version 3 (<https://dataverse.ucla.edu/dataset.xhtml?persistentId=doi:10.25346/S6/YO15ON>).

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